IAP15 Rec'<u>d PCT/PTQ. 1.2 JU</u>N 2006

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NO.

NL031469

U.S. Application No. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATI	ON NO
PCT/IR2004/052734	

INTERNATIONAL FILING DATE

December 9, 2004

December 15, 2003

TITLE OF INVENTION

METHOD AND SYSTEM FOR PROVIDING TIMING RECOVERY IN AN OPTICAL SYSTEM

APPLICANT(S) FOR DO/EO/US

ALBERT HENDRIK JAN IMMINK; BIN YIN

Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [ ] This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
- 4. [ ] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] b. [X] is attached hereto (required only if not transmitted by the International Bureau).
  - has been communicated by the International Bureau.
  - is not required, as the application was filed in the United States Receiving Office (RO/US). c. [ ]
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. [ ]
  - is attached hereto.
  - has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. [X]
  - are attached hereto (required only if not communicated by the International Bureau). a. [ ]
  - have been communicated by the International Bureau.
  - have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 8. [ ]
- 9. [X] An eath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10.[] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

items 11 to 20 below concern document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet is compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [ ] An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or Information: Express Mail Certificate; PTO/SB/80; Receipt Confirmation Postcard PTO/SB/08A; PTO/SB/96

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**CERTIFICATE OF EXPRESS MAILING** 

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Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Box PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

Marianne Fox

Name

Manage Ch Signature

## IAP12 Rec'd PCT/PTO 1 2 JUN 2006 Approved for use through 33/15007. OMB 0651-0001 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless & displays a valid OMB control number.

U.S. APPLICATION	65.8257	7		ONAL APPLICATION NO.	ATTORNEY'S DOC	KET NUMBER		
The following fees have been submitted:					NL031469			
21. [X] Basic National Fee\$300				CALCULATIONS	(PTO USE ONLY)			
22. [X] Examination Fee					\$300.00	•		
(If International preliminary examination report prepared by USPTO and all claims satisfy provisional of:					1			
PCT Article 33(1)-(4)					\$200.00			
23. [X] Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an								
International Searching Authority				\$400.00				
TOTAL OF 21, 22 and 23 =					\$900.00			
[ ] Additional fee for specification and drawings filed in paper over 100 sheets (excluding seguence listing or computer program listing filed in an electronic medium), The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets Ex	tra Sheets Number /	of each ad	ditional EQ as 6					
-100	/50 = thereof (	round up t	o a whole num	ber) X \$250	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$			
CLAIMS	NUMBER FILED	NUMBE	R EXTRA	RATE				
Total Claims	13- 20 =			X \$ 50.00	\$			
Independent claims	1-3 =			X \$ 200.00	s	<b>-</b>		
MULTIPLE DEPENDE (if applicable)	NT CLAIM(S)			+ \$ 360.00	\$ 0.00			
TOTAL OF ABOVE CALCULATIONS =					\$900.00			
[ ] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2								
SUBTOTAL =				\$900.00	·			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$			
TOTAL NATIONAL FEE =					\$900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00			
TOTAL FEES ENCLOSED =					\$940.00			
					Amount to be Refunded			
					Amount to be Charged	\$940.00		
	he amount \$			fees is enclosed.				
b. [X] Please char	Customer No. 24/3/) In the amount of \$940.00 to cover the above fees.							
	[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed.							
				tion on this form may be and authorization on PT	U-2036.	ſ		
NOTE: Where an approgranted to restore the a	priate time limit under 3 pplication to pending st	7 CFR 1.49 atus.	95 has not bee	n met, a petition to revive	(37 CFR 1.137(a) or (b)	) must be filed and		
SEND ALL CORRESPONDENCE TO: Mukal				Spel				
Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001 Briarcliff Manor, NY 10510-8001  (NAME)				·				
-				Reg. No. 33,357 (REGISTRATION NUMBER)				